

**Trusts | SOVEREIGN HOME LOANS**

Customer	
MAPs Ref	
Adviser	

**Regulatory requirements**

We collect and use the information on this form about your business and its key individuals to meet both our regulatory requirements and responsibilities to you as our customer.

**The Sections of this form**

- A. **Trust information:** Your trust’s name, details and important information.
- B. **Control structure:** The people and/or trustee companies that control your trust.
- C. **Key individuals for your trust:** This is where you list the full details of all of the individuals who control your trust or, in the case of non-discretionary trusts, stand to benefit the most from the trust. If they already have a banking relationship with Sovereign Home Loans, we may already hold their details on file and will let you know what further information is required.
- D. **Trust declaration and trustee certificate**

**Individuals**

All people applying for home loans need to provide both proof of address and individual identification. Where a trust or company is applying for the loan, the guarantors, trustees, and certain beneficiaries, directors and shareholders who own more than 25% must also provide both proof of address and individual identification. A copy of the Trust Deed and any amendments is also required.

**Address verification: Please provide a certified/verified copy of either:**

**To be dated within the last 12 months and show the current physical address**

**Posted and digital copies accepted**

- > Utility bill (gas, power, fixed phone line, internet, SKY TV, on-account mobile phone)
- > Local authority Rates or Water Bill
- > Non ASB Bank statement or correspondence
- > NZTA Correspondence
- > Government agency Correspondence
- > Current non-ASB insurance policy (house or contents)
- > Non-ASB registered KiwiSaver or superannuation scheme correspondence
- > Electoral Commission Correspondence

**Documents must be the originals**

- > Correspondence from a qualifying New Zealand educational institution (this must be a boarding hostel, halls of residence or homestay letter confirming the customer’s address. This also includes posted fee invoices and receipts)
- > Signed Tenancy or Lease Agreement
- > Driver licence containing address (This must be a current (not expired) driver licence from New Zealand, Australia or the United Kingdom that contains your current residential address)
- > Correspondence from a recognised retirement home (must confirm you reside at the rest home/facility and be from a recognised Ministry of Health certified retirement home provider)

If a bank or government agency statement showing the address has been provided as part of verification under Option 2 below, this can also be used for address verification. Utility bills and local authority bills sent to a PO Box are acceptable as long as your physical address is included on the statement and there is a fixed service provided to that address. On-account’ mobile phone statements do not need to contain a fixed service address.

A tenancy or lease agreement must be signed by both the tenant(s) and landlord.

**Individual’s identity verification: Please provide verification by choosing between the following three options:**

**Option 1:**

Provide a certified/verified copy of one of the following:

- > New Zealand passport
- > Overseas passport
- > New Zealand firearms licence
- > National Identity Card

**Option 2:**

Provide a certified/verified copy of a New Zealand drivers licence AND provide a certified copy of one of the following:

- > SuperGold card
- > Non ASB Credit card (name embossed, both sides)
- > Non ASB Debit card (name embossed)
- > Non ASB Bank statement
- > Government Agency Correspondence

### Option 3:

Provide a certified/verified copy of one of the following:

- > New Zealand full birth certificate
- > Overseas full birth certificate
- > Certificate of New Zealand citizenship
- > Overseas citizenship certificate

AND a certified copy of one of the documents below:

- > New Zealand driver's licence (both sides)
- > Overseas driver's licence (with photo) - with an English translation (if required) and accompanied by an International Driving Permit
- > 18+ Card or Kiwi Access card
- > New Zealand Armed Forces ID
- > New Zealand Police ID

**Any person acting on behalf of another** who has the ability to transact on a Go Home Loan account (as with an enduring power of attorney) must verify their identity and address as per the requirements for individual borrowers. Trusts and companies have special requirements, which are outlined in the letter of offer. Company information can be found online at [www.business.govt.nz/companies](http://www.business.govt.nz/companies)

### Who can certify/verify an identity document

Sovereign Advisers can verify a document.

If a Sovereign Adviser is unable to do this it can be certified by one of the following:

- > A Notary Public
- > A registered Teacher
- > A member of Parliament
- > A member of the New Zealand Police who holds the rank of Constable or above
- > People who have the legal authority to take statutory declarations or the equivalent in New Zealand
- > A Commonwealth representative (as defined in the Oaths and Declarations Act 1957)
- > New Zealand Honorary Consul
- > Lawyer
- > Chartered Accountant
- > Justice of the Peace
- > Registered medical doctor.

The certification/verification must be completed no later than three months prior to Sovereign receiving the loan application.

For certified/verified documents the Certifier/Verifier MUST either:

- a) post a physical copy of the original to yourself or Sovereign Home Loans, OR
- b) email a scanned copy to yourself, for you to forward to Sovereign at [applications@sovhomeloans.co.nz](mailto:applications@sovhomeloans.co.nz)

The Certifier/Verifier must:

- 1) Sight and take a copy of the original documentation
- 2) Include their name, occupation or capacity to act as a Trusted Referee and signature of the Trusted Referee and the date of certification
- 3) Write one of the following statements on each of the copies:

**For photographic identification documents** - "I certify/verify that this is a true copy of the original document, which I have personally sighted; and the photograph is a true likeness."

**For all other identification documents** - "I certify/verify that this is a true copy of the original document, which I have personally sighted."

### Other Information

If any identification documents are issued by a country other than New Zealand, Australia, The United Kingdom, Canada, France, Germany or the United States of America, we may need to ask you for additional information about your income or assets.

## A Your trust information

**1 Trust details** This information gives us the basic details about your trust. Please answer all relevant questions in full.

What is the full name of your trust?

Is your trust's primary business activity selling goods or services or is it a non-profit entity?

Yes

No

Select 'Yes' if either:

The trust earns, or intends to earn more than 50% of its total income from these trading activities;

**AND**

- > More than 50% of the trust's assets produce or are held for producing this trading income.

**OR**

- > The trust is a non-profit entity and is exempt from income tax in its country/jurisdiction of residence.

Is your trust a registered financial service provider?

Yes

No

Is your trust conducting transactions on behalf of other persons?

Yes

No

Is your trust a financial institution for the purposes of Common Reporting Standard and/or Foreign Account Tax Compliance Act?

Yes

No

(If you are unsure or require clarification on what this means please refer to [ird.govt.nz](http://ird.govt.nz) or consult your Tax Advisor.)

Is your trust a managed investment entity? i.e. the investment entity is managed by another Financial Institution

Yes

No

Is the trust a discretionary trust?

Yes

No

If a financial institution, please provide GIIN, if applicable

GIIN is a reference number assigned by the FATCA Registration system to financial institutions and direct reporting non-financial foreign entities. Those assigned a GIIN may use it to identify themselves to withholding agents and tax administrations for FATCA reporting.

**What is the trust's source of wealth?**

Documentary evidence to verify this will be required, if not already provided

Source of Wealth is the determination of the activities that have generated your total net worth (that is, the activities that produced the customers funds and property).

**What is the formation date of the trust?**

 /  / 

**Address**

Physical

Street

Suburb

City

Postal (if different to Physical)

Street

Suburb

City

Registered (if different to Physical + Home)

Street

Suburb

City

Telephone

**Your trust's tax residency.**

Please refer to the 'Tax Residency Self- Certification information' in section E of this form before completing the table below.

Country/Jurisdiction(s) of tax residence	Taxpayer Identification Number (TIN)	Enter Reason A, B or C if no TIN is available	If you have selected Reason B, please explain why a TIN was not issued to you

**B Your trust's control structure**

**1 Settlor/s and/or Protector/s of the trust**

**A. Full name**

Do you have another role in relation to this Trust? e.g. Trustee

 Yes- Please go to section B.2

 No - Please complete the details in 'b' below

**B. Tax residency - Settlor and/or Protector of the trust**

Date of birth

 /  / 

Physical Address Street

Suburb

 

Please refer to the 'Tax Residency Self- Certification information' in section E of this form before completing the table below.

Country/Jurisdiction(s) of tax residence	Taxpayer Identification Number (TIN)	Enter Reason A, B or C if no TIN is available	If you have selected Reason B, please explain why a TIN was not issued to you

**Declaration**

I declare that all the information provided in this form, to the best of my knowledge, is correct and complete. I will advise Sovereign as soon as any of this information changes, including any change in the Settlor/Protector's tax residency status.

I acknowledge that certain information contained in this form may be shared with the IRD and that the IRD may then exchange this information with tax authorities of another country/jurisdiction in which the Settlor/Protector may be tax resident.

Has the above tax residency information been completed on behalf of the Settlor and/or Protector of the trust?

Yes- Complete below

No - Settlor/Protector to complete below

Tax residency information completed by

**Signature**  
Please sign clearly in the centre of box

**Signature**  
Please sign clearly in the centre of box

Date

 /  / 

Date

 /  / 

If your trust has more settlors/protectors that need to be listed here who don't have another role in relation to this trust, please list them separately by requesting more trust detail forms from your adviser.

**A. Full name**

Do you have another role in relation to this Trust? e.g. Trustee

Yes- Please go to section B.2

No - Please complete the details in 'b' below

**B. Tax residency - Settlor and/or Protector of the trust**

Date of birth

 /  / 

Physical Address

Street

Suburb




Please refer to the 'Tax Residency Self- Certification information' in section E of this form before completing the table below.

Country/Jurisdiction(s) of tax residence	Taxpayer Identification Number (TIN)	Enter Reason A, B or C if no TIN is available	If you have selected Reason B, please explain why a TIN was not issued to you

**Declaration**

I declare that all the information provided in this form, to the best of my knowledge, is correct and complete. I will advise Sovereign as soon as any of this information changes, including any change in the Settlor/Protector's tax residency status.

I acknowledge that certain information contained in this form may be shared with the IRD and that the IRD may then exchange this information with tax authorities of another country/jurisdiction in which the Settlor/Protector may be tax resident.

Has the above tax residency information been completed on behalf of the Settlor and/or Protector of the trust?

Yes- Complete below

No - Settlor/Protector to complete below

Tax residency information completed by

**Signature**  
Please sign clearly in the centre of box

**Signature**  
Please sign clearly in the centre of box

Date

 /  / 

Date

 /  / 

If your trust has more settlors/protectors that need to be listed here who don't have another role in relation to this trust, please list them separately by requesting more trust detail forms from your adviser.

**2 Individuals who are trustees of the trust**

Full name 1	<input type="text"/>
Full name 2	<input type="text"/>
Full name 3	<input type="text"/>
Full name 4	<input type="text"/>
Full name 5	<input type="text"/>
Full name 6	<input type="text"/>
Full name 7	<input type="text"/>
Full name 8	<input type="text"/>

Please complete Section C: Key individuals involved with the organisation for every individual trustee. If your trust has more individual trustees, please list them separately by requesting more trust detail forms from your adviser.

**3 Companies who are trustees of the trust**

**All companies listed below, excluding Professional Trustee Companies, are required to complete a Company Customer Identification and Verification Form.**

Complete this Part if your trust has trustees who are companies.

Trustee company name 1	<input type="text"/>		
Registered address	Street	<input type="text"/>	
	Suburb	City & Postcode	<input type="text"/>
Country of incorporation	<input type="text"/>		
Incorporation number	<input type="text"/>	Date of incorporation	<input type="text"/> / <input type="text"/> / <input type="text"/>
Name of Director(s)	1)	<input type="text"/>	
	2)	<input type="text"/>	
	3)	<input type="text"/>	
	4)	<input type="text"/>	

Trustee company name 2	<input type="text"/>		
Registered address	Street	<input type="text"/>	
	Suburb	City & Postcode	<input type="text"/>
Country of incorporation	<input type="text"/>		
Incorporation number	<input type="text"/>	Date of incorporation	<input type="text"/> / <input type="text"/> / <input type="text"/>
Name of Director(s)	1)	<input type="text"/>	
	2)	<input type="text"/>	
	3)	<input type="text"/>	
	4)	<input type="text"/>	

All Directors' names are required. If there are more than four Directors, please request an additional trust details page from your adviser.

**4 Individuals who can act for the Trustee Companies listed in Part B3 on behalf of the trust (if applicable)\***

\*This is any Director of trustee company and/or any other individual that can act as trustee on behalf of the trust. If there are more than 4 individuals required to be listed in this section, please note the additional individuals on a separate page.

**All individuals listed below are required to fill out Section C: Key individuals involved with the organisation.**

Full name 1	<input type="text"/>
Trustee company associated with	<input type="text"/>
Full name 2	<input type="text"/>
Trustee company associated with	<input type="text"/>
Full name 3	<input type="text"/>
Trustee company associated with	<input type="text"/>
Full name 4	<input type="text"/>
Trustee company associated with	<input type="text"/>

**5 Other individuals (not already listed above) who have effective control of the trust. Refer to Section C1 "relationship to the organisation"**

(These are the individuals who have the power to alter the trust deed, appoint or remove trustees/beneficiaries)

Full name 1	<input type="text"/>
Full name 2	<input type="text"/>

All individuals listed above are required to fill out Section C: Key individuals involved with the organisation.

**6 Beneficiaries of Non-Discretionary Trust**

Complete this section only if your trust is a non-discretionary trust.

Name of non-discretionary beneficiary 1	<input type="text"/>
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Has greater than 25% interest in the trust?	<input type="checkbox"/> Yes - complete a Key Individual page (Section C)	<input type="checkbox"/> No - please provide date of birth	<input type="text" value="/"/>	<input type="text" value="/"/>
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Complete this section only if your trust is a non-discretionary trust.

Name of non-discretionary beneficiary 1	<input type="text"/>
---	----------------------

Has greater than 25% interest in the trust?	<input type="checkbox"/> Yes - complete a Key Individual page (Section C)	<input type="checkbox"/> No - please provide date of birth	<input type="text" value="/"/>	<input type="text" value="/"/>
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If there are more than two non-discretionary beneficiaries, please request an additional form from your adviser.

## C Key individual 1

A Key Individual's Page needs to be completed by all individuals with more than 25% interest and/or effective control of your entity, and those people with any of the roles listed below. Only one form per person is required, no matter how many roles or functions they hold. Identification and proof of address is required for all Key individuals, if they are not already Sovereign Home Loans customers.

### 1 Organisation relationship

What is your relationship to the organisation?  
Select all that apply

Director

Director of trustee company

Shareholder with greater than 25% interest

Shareholder with greater than 25% interest in shareholding organisation

Professional/Independent trustee

Trustee ▶  Limited, or  Unlimited

Trustee company representative able to act as a trustee

Protector/Appointer

Settlor

Executor/Administrator

Non-discretionary beneficiary with greater than 25% interest in trust

### 2 Personal details

Full name

Date of birth

 /  / 

Country(s) of citizenship

NZ

Other (please specify)

#### Your tax residency.

Please refer to the 'Tax Residency Self-Certification information' in section E of this form before completing the table below.

Country/Jurisdiction(s) of tax residence	Taxpayer Identification Number (TIN)	Enter Reason A, B or C if no TIN is available	If you have selected Reason B, please explain why a TIN was not issued to you

Are you an existing Sovereign Home Loan customer?

Yes

No

Home phone

NZ mobile phone

Address

Street

Suburb

City

Postcode

Street

Postal (if different to Home)

Suburb

City

Postcode

#### Confidentiality of your information

The Go Home Loan Terms and Conditions set out how we will use information we collect about you and with whom we can share it. We may confirm your identity and address by electronically matching information you provide us with identification information in third party databases.

Your information is collected and held by the lender, Mortgage Holding Trust Company Limited (12 Jellicoe Street, Auckland Central, Auckland 1010) and the Lender's Administrator, Sovereign Financial Services Limited (74 Taharoto Road, Takapuna, Auckland 0622) and by Sovereign's data storage providers (whether in New Zealand or elsewhere), and reasonable steps will be taken to keep such information secure. You have the rights to and correction of, your personal information held by us. A copy of Sovereign's Privacy policy can be viewed on its website or made available on request.

Signature

Please sign clearly in the centre of box

Date

 /  /

## C Key individual 2

A Key Individual's Page needs to be completed by all individuals with more than 25% interest and/or effective control of your entity, and those people with any of the roles listed below. Only one form per person is required, no matter how many roles or functions they hold. Identification and proof of address is required for all Key individuals, if they are not already Sovereign Home Loans customers.

### 1 Organisation relationship

What is your relationship to the organisation?  
Select all that apply

Director

Director of trustee company

Shareholder with greater than 25% interest

Shareholder with greater than 25% interest in shareholding organisation

Professional/Independent trustee

Trustee ▶  Limited, or  Unlimited

Trustee company representative able to act as a trustee

Protector/Appointer

Settlor

Executor/Administrator

Non-discretionary beneficiary with greater than 25% interest in trust

### 2 Personal details

Full name

Date of birth

 /  / 

Country(s) of citizenship

NZ

Other (please specify)

#### Your tax residency.

Please refer to the 'Tax Residency Self-Certification information' in section E of this form before completing the table below.

Country/Jurisdiction(s) of tax residence	Taxpayer Identification Number (TIN)	Enter Reason A, B or C if no TIN is available	If you have selected Reason B, please explain why a TIN was not issued to you

Are you an existing Sovereign Home Loan customer?

Yes

No

Home phone

NZ mobile phone

Address

Street

Suburb

City

Postcode

Street

Postal (if different to Home)

Suburb

City

Postcode

#### Confidentiality of your information

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Signature

Please sign clearly in the centre of box

Date

 /  /



## C Key individual 3

A Key Individual's Page needs to be completed by all individuals with more than 25% interest and/or effective control of your entity, and those people with any of the roles listed below. Only one form per person is required, no matter how many roles or functions they hold. Identification and proof of address is required for all Key individuals, if they are not already Sovereign Home Loans customers.

### 1 Organisation relationship

What is your relationship to the organisation?  
Select all that apply

Director

Director of trustee company

Shareholder with greater than 25% interest

Shareholder with greater than 25% interest in shareholding organisation

Professional/Independent trustee

Trustee ▶  Limited, or  Unlimited

Trustee company representative able to act as a trustee

Protector/Appointer

Settlor

Executor/Administrator

Non-discretionary beneficiary with greater than 25% interest in trust

### 2 Personal details

Full name

Date of birth

 /  / 

Country(s) of citizenship

NZ

Other (please specify)

#### Your tax residency.

Please refer to the 'Tax Residency Self-Certification information' in section E of this form before completing the table below.

Country/Jurisdiction(s) of tax residence	Taxpayer Identification Number (TIN)	Enter Reason A, B or C if no TIN is available	If you have selected Reason B, please explain why a TIN was not issued to you

Are you an existing Sovereign Home Loan customer?

Yes

No

Home phone

NZ mobile phone

Address

Street

Street

Suburb

Suburb

City

City

Postcode

Postcode

#### Confidentiality of your information

The Go Home Loan Terms and Conditions set out how we will use information we collect about you and with whom we can share it. We may confirm your identity and address by electronically matching information you provide us with identification information in third party databases.

Your information is collected and held by the lender, Mortgage Holding Trust Company Limited (12 Jellicoe Street, Auckland Central, Auckland 1010) and the Lender's Administrator, Sovereign Financial Services Limited (74 Taharoto Road, Takapuna, Auckland 0622) and by Sovereign's data storage providers (whether in New Zealand or elsewhere), and reasonable steps will be taken to keep such information secure. You have the rights to and correction of, your personal information held by us. A copy of Sovereign's Privacy policy can be viewed on its website or made available on request.

Signature

Please sign clearly in the centre of box

Date

 /  /

## C Key individual 4

A Key Individual's Page needs to be completed by all individuals with more than 25% interest and/or effective control of your entity, and those people with any of the roles listed below. Only one form per person is required, no matter how many roles or functions they hold. Identification and proof of address is required for all Key individuals, if they are not already Sovereign Home Loans customers.

### 1 Organisation relationship

What is your relationship to the organisation?  
Select all that apply

<input type="checkbox"/> Director	<input type="checkbox"/> Trustee company representative able to act as a trustee
<input type="checkbox"/> Director of trustee company	<input type="checkbox"/> Protector/Appointer
<input type="checkbox"/> Shareholder with greater than 25% interest	<input type="checkbox"/> Settlor
<input type="checkbox"/> Shareholder with greater than 25% interest in shareholding organisation	<input type="checkbox"/> Executor/Administrator
<input type="checkbox"/> Professional/Independent trustee	<input type="checkbox"/> Non-discretionary beneficiary with greater than 25% interest in trust
<input type="checkbox"/> Trustee ▶ <input type="checkbox"/> Limited, or <input type="checkbox"/> Unlimited	

### 2 Personal details

Full name

Date of birth  /  /

Country(s) of citizenship  NZ  Other (please specify)

#### Your tax residency.

Please refer to the 'Tax Residency Self- Certification information' in section E of this form before completing the table below.

Country/Jurisdiction(s) of tax residence	Taxpayer Identification Number (TIN)	Enter Reason A, B or C if no TIN is available	If you have selected Reason B, please explain why a TIN was not issued to you

Are you an existing Sovereign Home Loan customer?  Yes  No

Home phone	<input type="text"/>	NZ mobile phone	<input type="text"/>
Address	Physical	Postal (if different to Home)	
Street	<input type="text"/>	Street	<input type="text"/>
Suburb	<input type="text"/>	Suburb	<input type="text"/>
City	<input type="text"/>	City	<input type="text"/>
Postcode	<input type="text"/>	Postcode	<input type="text"/>

#### Confidentiality of your information

The Go Home Loan Terms and Conditions set out how we will use information we collect about you and with whom we can share it. We may confirm your identity and address by electronically matching information you provide us with identification information in third party databases.

Your information is collected and held by the lender, Mortgage Holding Trust Company Limited (12 Jellicoe Street, Auckland Central, Auckland 1010) and the Lender's Administrator, Sovereign Financial Services Limited (74 Taharoto Road, Takapuna, Auckland 0622) and by Sovereign's data storage providers (whether in New Zealand or elsewhere), and reasonable steps will be taken to keep such information secure. You have the rights to and correction of, your personal information held by us. A copy of Sovereign's Privacy policy can be viewed on its website or made available on request.

Signature  
Please sign clearly in the centre of box

Date

 /  /

## D Trust declaration and trustee certificate

### 1 The trustees of the Trust declare that:

All information provided is complete and accurate and no information has been withheld on the Trust's financial position or commitments that might affect our decision to provide accounts, facilities and services to the Trust.

#### Signed by the trustees of the Trust:

Name of Trustee

Position/Designation

Signature

Date

Name of Trustee

Position/Designation

Signature

Date

Name of Trustee

Position/Designation

Signature

Date

Name of Trustee

Position/Designation

Signature

Date

#### NOTE - To be signed by all trustees of the trust. If a trustee is a company, that trustee to sign:

- (a) by one director if there is only one director;
- (b) by two directors, if there are two or more directors

# E Tax Residency Self-Certification Information

Please read the following information before completing the “Country/Jurisdiction(s) of Tax Residence” details on pages 3, 4 and 7–10

## Why do you need to provide this information?

The Tax Administration Act 1994 supports the implementation of the Common Reporting Standard (CRS) and the Foreign Account Tax Compliance Act (FATCA) in New Zealand. CRS and FATCA require certain New Zealand Financial Institutions to collect and report particular information about an Account Holder’s tax residence.

## What is tax residence?

### For entities:

Your tax adviser can assist you in answering specific questions on this form. The OECD and IRD websites can provide guidance regarding how to determine the tax residence of your entity.

### For key individual(s):

Each country/jurisdiction has its own rules to define tax residence. Generally your jurisdiction of tax residence is the country where you have a permanent place of abode. Special circumstances may cause you to be resident elsewhere or resident in more than one country at the same time. If you are a United States (US) citizen or a Green Card holder, you must indicate that you are a US tax resident on this form.

If the tax residence of the entity or the key individual(s) related to it is located outside of New Zealand, we may be legally obliged to pass on the information in this form and other information with respect to your financial accounts to the IRD. The IRD may then exchange this information with tax authorities of another country/jurisdiction.

If you are unsure about your tax residence, please contact your tax advisor. Alternatively you can check the OECD website, which includes tax residence information for a number of countries or the Inland Revenue website for further information on NZ tax residence.

Please note that as a Financial Institution, we are not allowed to give tax advice.

## What is a taxpayer identification number (TIN) or functional equivalent?

The TIN or its equivalent is a unique combination of letters or numbers assigned by a country/jurisdiction to an individual or an entity and used to identify the individual or entity for the purposes of administering the tax laws of such jurisdiction. In New Zealand the TIN is equivalent to the IRD number. Some examples of a TIN or its equivalent for an entity includes a business/company registration code/number. For an individual this includes a social security/insurance number, citizen/personal identification/service code/number, and resident registration number.

## Completing the tax residence table (Section A)

In this table, please list all applicable country/jurisdiction(s) where your Trust is tax resident, including New Zealand. For each country/jurisdiction, except New Zealand, you will need to provide a taxpayer identification number (TIN) or equivalent.

If a TIN is not available for that country/jurisdiction, use the appropriate reason A, B or C. See below for details on each reason.

**Reason A** The country/jurisdiction where the Trust is tax resident does not issue a TIN to its residents

**Reason B** The Trust has not been issued a TIN by its country/jurisdiction of tax residence (please include an explanation as to why a TIN was not issued to the Trust in the “Explanation for Reason B” column for the corresponding entry number)

**Reason C** The domestic law of the country/jurisdiction where the Trust is tax resident does not require the collection of a TIN.

## Completing the tax residence table in the key individual page (Section B.1 and Section C)

In this table, please list all applicable country/jurisdiction(s) where you (as the Settlor/Protector of the Trust and/or Key Individual) are a tax resident, including New Zealand. For each country/jurisdiction, except New Zealand, you will need to provide a taxpayer identification number (TIN) or equivalent.

If a TIN is not available for that country/jurisdiction, use the appropriate reason A, B or C. See below for details on each reason.

**Reason A** The country/jurisdiction where the Settlor/Protector/Key Individual is tax resident does not issue a TIN to its residents

**Reason B** The Settlor/Protector/Key Individual has not been issued a TIN by his/her country/jurisdiction of tax residence (please include an explanation as to why a TIN was not issued to the Settlor/Protector/Key Individual in the “Explanation for Reason B” column for the corresponding entry number)

**Reason C** The domestic law of the country/jurisdiction where the Settlor/Protector/Key Individual is tax resident does not require the collection of a TIN.

## Additional information

Based on the information you provide us, we may contact you for further information.

